

Annual quantitative 'top 5 brokers' disclosure

Firm name: Sound Point Capital Management UK, LLP

Disclosure Period: 01/01/2020 to 31/12/2020

Disclosure type: Professional Clients

This disclosure is being made pursuant to Article 65(6) of the MiFID II Delegated Organisational Regulation, which requires firms to disclose, for each class of financial instruments traded on behalf of clients during the period, the top 5 brokers that were used.

Class of Instrument	Bond				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders traded as a percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
J.P. Morgan Securities PLC (LEI:K6Q0W1PS1L1O4IQL9C32)	26.10%	17.07%	0%	0%	0%
Credit Suisse Securities (Europe) Limited (LEI: DL6FFRRLF74S01HE2M14)	10.81%	8.54%	0%	0%	0%
Deutsche Bank Aktiengesellschaft (LEI: 7LTWFZYICNSX8D621K86)	10.40%	8.54%	0%	0%	0%
Morgan Stanley & Co. International PLC (LEI: 4PQUHN3JPFGFNF3BB653)	9.67%	9.76%	0%	0%	0%
Citigroup Global Markets Limited (LEI: XKZZ2JZF41MRHTR1V493)	9.17%	12.20%	0%	0%	0%